

## WOLF-GEORG RINGE

### LIST OF PUBLICATIONS

(January 2023)

---

#### CURRENT PROJECTS AND WORKING PAPERS

- Wolf-Georg Ringe, ‘How to facilitate ESG investor engagement’, in: Luca Enriques and Giovanni Strampelli (eds), *Board-Shareholder Dialogue: Best Practices, Legal Constraints and Policy Options* (Cambridge University Press, forthcoming 2023)
- Alessio Azzutti, Wolf-Georg Ringe and Siegfried Stiehl, ‘Regulating AI Trading from an AI Life Cycle Perspective’ EBI Working Paper Series No 130/2022; forthcoming in: Nydia Remolina and Aurelio Gurrea-Martinez (eds), *Artificial Intelligence in Finance: Challenges, Opportunities and Regulatory Developments* (Edward Elgar, 2023)
- Alperen Gözlügöl and Wolf-Georg Ringe, ‘Private Companies: The Missing Link on The Path to Net Zero’ ECGI Law Working Paper No 635/2022 and SAFE Working Paper No 342, available at <<https://ssrn.com/abstract=4065115>>, forthcoming, *Journal of Corporate Law Studies*
- Alperen Gözlügöl and Wolf-Georg Ringe, ‘Net-Zero Transition and Mergers & Acquisitions of Carbon-Intensive Assets’ (2023) *UC Davis Law Review*, forthcoming
- Wolf-Georg Ringe, ‘Private Risk-Sharing to strengthen the Eurozone Architecture’, Conference Working Paper 2022
- Wolf-Georg Ringe and Jatine Patel, ‘The Dark Side of Bank Resolution: Counterparty Risk through Bail-in’, European Banking Institute Working Paper No 31/2019, available at <<https://ssrn.com/abstract=3314103>>

#### I. MONOGRAPHS

- *The Anatomy of Corporate Law: A Comparative and Functional Approach* (with Reinier Kraakman and others) (3<sup>rd</sup> edn, Oxford University Press 2017) XVII, 281 pages  
*Translated versions: Portuguese (Brazil, 2018), Chinese (2019), Georgian (2019), Korean (2020), Arabic (2021), Spanish (forthcoming), German (forthcoming)*
- *The Deconstruction of Equity – Activist Shareholders, Decoupled Risk, and Corporate Governance* (Oxford University Press 2016) XVI, 269 pages
- *Regulatory Arbitrage and Regulatory Competition in the Governance of Global Financial Markets / Arbitrage et concurrence réglementaires dans la gouvernance des marchés financiers mondiaux (Second Paule Gauthier Annual Lecture)* (Yvon Blais 2015), 135 pages

- *Englisches Handels- und Wirtschaftsrecht* (together with Volker Triebel and others) (3rd edn, Verlag Recht und Wirtschaft, Heidelberg 2012), 580 pages
- *Die Sitzverlegung der Europäischen Aktiengesellschaft* (Studien zum ausländischen und internationalen Privatrecht vol 171) (Mohr Siebeck, Tübingen 2006) XX, 274 pages

## II. EDITED BOOKS

- *Business Law and the Transition to a Net Zero Economy* (together with Andreas Engert, Luca Enriques, Umakanth Varottil, and Thom Wetzer) (Beck / Nomos / Hart 2022)
- *Financial Stability Amidst the Pandemic Crisis: On Top of the Wave* (together with Christos V. Gortsos) (EBI, Frankfurt 2021), ebook available at <<https://ssrn.com/abstract=3877946>>
- *Pandemic Crisis and Financial Stability* (together with Christos V. Gortsos) (EBI, Frankfurt 2020), ebook available at <<https://ssrn.com/abstract=3607930>>
- *The Oxford Handbook of Corporate Law and Governance* (together with Jeffrey N. Gordon) (Oxford University Press 2018) (paperback 2020), online version available at <<https://academic.oup.com/edited-volume/43491>>
- *German and Nordic Perspectives on Company Law and Capital Markets Law* (together with Holger Fleischer and Jesper Lau Hansen) (Mohr Siebeck, Tübingen 2015)
- *Legal Challenges in the Global Financial Crisis: Bail-outs, the Euro, and Regulation* (together with Peter M. Huber) (Hart Publishing, Oxford 2014) (paperback 2015)
- *Company Law and Economic Protectionism – New Challenges to European Integration* (together with Ulf Bernitz) (Oxford University Press, 2010)
- *Current Issues in European Financial and Insolvency Law: Perspectives from France and the UK* (together with Louise Gullifer and Philippe Théry) (Hart Publishing, Oxford 2009)
- *Perspektiven des Wirtschaftsrechts – Deutsches, europäisches und internationales Handels-, Gesellschafts- und Kapitalmarktrecht. Beiträge für Klaus J. Hopt aus Anlass seiner Emeritierung* (together with Harald Baum and others) (de Gruyter, Berlin/New York 2008)

## III. JOURNAL ARTICLES AND CHAPTERS IN BOOKS

- ‘Investor-Led Sustainability in Corporate Governance’, (2022) 7 *Annals of Corporate Governance* 93-151
- ‘A Critique of EU Policymaking on Sustainable Corporate Governance and Finance’ (2022) 4(3) *Revue Européenne de Droit* 127-134 (with Alperen A. Gözlügöl)  
*French version:* ‘Une critique de la politique de l’UE en matière de gouvernance d’entreprise et de financement durables’ (2022) 4(3) *Revue Européenne de Droit* 148-157

- 
- ‘Künstliche Intelligenz und Marktmanipulation’, (2022) 24 *Zeitschrift für Bankrecht und Bankwirtschaft* 341-356 (with Alessio Azzutti and Siegfried Stiehl)
  - ‘ESG investor engagement and Coalition-Building’ in Ronald J. Gilson, Mats Isaksson, Erik Lidman, Johan Munck and Erik Sjöman (eds), *Festschrift in honour of Rolf Skog – Festschrift till Rolf Skog* (Norstedts Juridik 2021) 1035-1054
  - ‘Machine Learning, Market Manipulation and Collusion on Capital Markets: Why the “Black Box” matters’, (2021) 43 *University of Pennsylvania Journal of International Law* 79-136 (with Alessio Azzutti and Siegfried Stiehl)
  - ‘Dynamism in Financial Market Regulation: Harnessing Regulatory and Supervisory Technologies’, (2021) 4 *Stanford Journal of Blockchain Law & Policy* 203-220 (with Pedro Magalhães Batista)
  - ‘Robo Advice: Legal and Regulatory Challenges’ in: Iris H-Y Chiu and Gudula Deipenbrock (eds), *Routledge Handbook of Financial Technology and Law* (Routledge 2021) 193-212 (with Christopher Ruof)
  - ‘Brexit and how it affects capital markets (regulation)’ in: Jörn A. Kämmerer and Hans-Bernd Schäfer (eds), *Brexit: Legal and Economic Aspects of a Political Divorce* (Edward Elgar 2021) 145-180
  - ‘Stewardship and Shareholder Engagement in Germany’ (2021) 22 *European Business Organization Law Review* (EBOR) 87-124  
*revised and updated version in: Dionysia Katelouzuo and Dan W. Puchniak (eds), Global Shareholder Stewardship* (Cambridge University Press 2022) 192-221
  - ‘Lessons from the pandemic for European finance: a twin transformation towards green technology’ in Christos Gortsos and Wolf-Georg Ringe (eds), *Financial Stability Amidst the Pandemic Crisis: On Top of the Wave* (EBI, Frankfurt 2021) 56-81
  - ‘Bank-Fintech Partnerships, Outsourcing Arrangements, and the Case for a Mentorship Regime’ (2020) 15 *Capital Markets Law Journal* 374-397 (with Luca Enriques)  
*revised version in: Quaderni di Ricerca Giuridica della Consulenza Legale* 93 (2022), 91-117
  - ‘Interne und externe Corporate Governance bei Banken’ [‘Internal and External Corporate Governance for Banks’] in Stefan Grundmann and others (eds), *Festschrift für Klaus J. Hopt zum 80. Geburtstag* (de Gruyter, Berlin 2020) 1037-1052
  - ‘Renforcer l’architecture de la zone euro par le marché’ (2020) 9 *Journal des Libertés* 27-43
  - ‘Renforcer l’architecture de la zone euro par le marché (seconde partie)’ (2020) 11 *Journal des Libertés* 189-211
  - ‘How to Rescue Startups During the Pandemic’ in Horst Eidenmüller and others (eds), *Covid-19 and Business Law* (C.H. Beck / Hart / Nomos 2020) 41-45 (with Dorothea Ringe)

- 
- ‘COVID-19 and European Banks: no time for lawyers’ in Christos V Gortsos and Wolf-Georg Ringe (eds), *Pandemic Crisis and Financial Stability* (EBI, Frankfurt 2020) 43-62, <<https://ssrn.com/abstract=3607930>>
  - ‘Regulating Fintech in the EU: the Case for a Guided Sandbox’ (2020) 11 *European Journal of Risk Regulation* 604-629 (with Christopher Ruof)
  - ‘Bank Resolution in Europe: The Unfinished Agenda of Structural Reform’ in Danny Busch and Guido Ferrarini (eds), *European Banking Union* (OUP, 2nd edn 2020), (with Jeffrey N. Gordon)
  - ‘A Holistic Approach to the Institutional Architecture of Financial Supervision and Regulation in the EU’ (co-authored with Luis Morais and David Ramos), in Veerle Colaert, Danny Busch and Thomas Incalza (eds), *European Financial Regulation – Levelling the Cross-Sectoral Playing Field* (Hart Publishing, 2019) 405-429
  - ‘Bail-in: a Post-Crisis Learning Process’ in Simon Brodie (ed), *Bank Resolution: Key Issues and Local Perspectives* (Insol International 2019) 41-59
  - ‘The Politics of Capital Markets Union: From Brexit to Eurozone’ in Franklin Allen and others (eds), *Capital Markets Union and Beyond* (MIT Press 2019) 341-352
  - ‘Der Standort Hamburg im Finanzmarkt: eine Renaissance’ in Tilman Reppen, Florian Jeßberger and Markus Kotzur (eds), *100 Jahre Rechtswissenschaft an der Universität Hamburg* (Mohr Siebeck, Tübingen 2019) 709-731
  - ‘Bank Bail-In between Liquidity and Solvency’ (2018) 92 *American Bankruptcy Law Journal* 299-334
  - ‘The Irrelevance of Brexit for the European Financial Market’ (2018) 19 *European Business Organization Law Review* (EBOR) 1-34  
*reprinted in* Friedemann Kainer and René Repasi (eds), *Trade Relations after Brexit* (Nomos / Hart 2019) 247-291
  - ‘Shareholder Activism: a Renaissance’ in Jeffrey N. Gordon and Wolf-Georg Ringe (eds), *The Oxford Handbook of Corporate Law and Governance* (OUP 2018) 387-424
  - ‘A Brexit Deal for Financial Services’, in: John Armour and Horst Eidenmüller (eds), *Negotiating Brexit* (C.H. Beck / Hart / Nomos 2017) 45-51
  - ‘Das Beschlussmängelrecht in Großbritannien’ (2017) 81 *Rabels Zeitschrift für ausländisches und internationales Privatrecht* (RabelsZ) 243-292 (‘Contesting Shareholder Resolutions in the UK’)
  - ‘Insolvency Forum Shopping, Revisited’ (2017) 3 *Hamburg Law Review* 38-59
  - ‘Regulatory Competition in Global Financial Markets – the Case for a Special Resolution Regime’ (2016) 1 *Annals of Corporate Governance* 175-247
  - ‘German versus Nordic Board Models: Form, Function, and Convergence’ (2016) 65 *Nordic Journal of Business* 27-40

- 
- ‘Capital Markets Union for Europe – A Political Message to the UK’ (2015) 9 *Law & Financial Markets Review* 5-7
  - ‘Bank Resolution in the European Banking Union: A Transatlantic Perspective on What it Would Take’ (2015) 115 *Columbia Law Review* 1297-1369 (co-authored with Jeffrey N. Gordon)
  - ‘Changing Law and Ownership Patterns in Germany: Corporate Governance and the Erosion of Deutschland AG’ (2015) 63 *American Journal of Comparative Law* 493-538  
*- received American Society of Comparative Law’s Senior Scholar Prize 2018 -*  
*reprinted in* Jennifer G. Hill and Randall S. Thomas (eds), *Research Handbook on Shareholder Power* (Edward Elgar Publishing, 2015) 404-438 (Research Handbooks in Corporate Law and Governance)
  - ‘Bank Resolution in Europe: The Unfinished Agenda of Structural Reform’ in Danny Busch and Guido Ferrarini (eds), *European Banking Union* (OUP 2015) 500-523 (co-authored with Jeffrey N. Gordon)
  - ‘Dead Man or Dead Hand? New Poison Pills in Debt’ (2015) 30 *Butterworths Journal of International Banking and Financial Law* 482-484
  - ‘The Law of Assignment in European Private Law’ in Louise Gullifer and Stefan Vogenauer (eds), *Contract, Commerce and Comparative Law: Essays in Honour of Hugh Beale* (Hart Publishing, Oxford 2014) 251-278
  - ‘Independent Directors: After the Crisis’ (2013) 14 *European Business Organization Law Review* (EBOR) 401-424  
*reprinted in*
    - Hanne S. Birkmose, Mette Neville and Karsten Engsig Sørensen (eds), *Boards of Directors in European Companies: Reshaping and Harmonising Their Organisation and Duties* (Kluwer Law International, Alphen aan den Rijn 2013)
    - Dan W. Puchniak, Harald Baum & Luke Nottage (eds), *Independent Directors in Asia: A Historical, Contextual and Comparative Approach* (Cambridge University Press 2017)*translated as*
    - ‘L’administrateur indépendant : une perspective internationale’, *Revue Trimestrielle de Droit Financier* 3/2017, 110-122
  - ‘Corporate Mobility in the European Union – a Flash in the Pan? An empirical study on the success of lawmaking and regulatory competition’ (2013) 10 *European Company and Financial Law Review* (ECFR) 230-267  
*reprinted in* Caroline Cauffman and Jan Smits (eds), *The Citizen in European Private Law – Norm-setting, Enforcement and Choice* (Intersentia, 2016) 49-90
  - ‘Hedge Funds and Risk-Decoupling – The Empty Voting Problem in the European Union’ (2013) 36 *University of Seattle Law Review* 1027-1115

- received *George Washington School of Law, Junior Faculty Prize 2013* -

French version: 'Fonds de gestion alternative et découplage du risqué et du capital' in Thierry Granier (ed), *Les fonds d'investissement* (2013) 137-180

- 'Empty voting revisited: the *Telus* saga' (2013) 28 *Butterworths Journal of International Banking and Financial Law* 154-156
- 'Transfer of rights and obligations' (co-authored with Hugh Beale), in Gerhard Dannemann and Stefan Vogenauer (eds), *The Common European Sales Law in Context – Interactions with English and German Law* (OUP 2013) 521-561
- 'Menügesetzgebung im Privatrecht' ['Menus in Private Law'] (2013) 213 *Archiv für die civilistische Praxis (AcP)* 98-127
- 'Transnational Issuer Liability after the Financial Crisis: Seeking a Coherent Choice of Law Standard' (co-authored with Alexander Hellgardt), in Duncan Fairgrieve and Eva Lein (eds), *Extraterritoriality and Collective Redress* (OUP 2012) 401-430
- 'Disclosure Regulation of Cash-Settled Equity Derivatives – An Intentions-Based Approach' (co-authored with Mainu Kettunen), [2012] *Lloyd's Maritime and Commercial Law Quarterly* 227-260
- 'Der Nacherfüllungsanspruch im Kaufrecht' ['Place of performance for the right to cure in sales contracts'] (2012) 65 *Neue Juristische Wochenschrift* 3393-3398
- 'The International Dimension of Issuer Liability – Liability and choice of law from a transatlantic perspective' (co-authored with Alexander Hellgardt), (2011) 31 *Oxford Journal of Legal Studies* 23-60
- 'European Corporate Law 1999-2010: Renaissance and Crisis' (co-authored with John Armour), (2011) 48 *Common Market Law Review* 125-174

- received *ECGI prize for the Best Law Paper of the Year 2011* –

- 'Sparking regulatory competition in European Company Law – The impact of the *Centros* line of case-law and its concept of abuse of law' in Rita de la Feria and Stefan Vogenauer (eds), *Prohibition of Abuse of Law – A New General Principle of EU Law* (Hart Publishing, Oxford 2011) 107-125
- 'Is *Volkswagen* the new *Centros*? Free Movement of Capital's Impact on Company Law' in Dan Prentice and Arad Reisberg (eds), *Corporate Finance Law: UK and EU Perspectives* (OUP, 2011) 461-492
- 'Company Law and Free Movement of Capital' (2010) 69 *The Cambridge Law Journal* 378-409

- shortlisted for the *2008 SLS Conference Best Paper Prize* -

- 'Public Capital and Private Capital in the internal market – Securing a level playing field for public and private enterprises' (UK Report) in GC Rodriguez Iglesias and L Ortiz Blanco (eds), *PROCEEDINGS OF THE FIDE XXIV CONGRESS MADRID 2010 – VOLUME III: Public Capital and Private Capital in the Internal Market*

(Servicio de Publicaciones de la Facultad de Derecho, Complutense University, Madrid 2010) 533-573

- ‘Deviations from Ownership-Control Proportionality – Protectionism Revisited’, in Ulf Bernitz and Wolf-Georg Ringe (eds) *Company Law and Economic Protectionism – New Challenges to European Integration* (OUP 2010) 209-240  
  
Shorter version in Véronique Magnier (ed), *La gouvernance des sociétés cotées face à la crise – Pour une meilleure protection de l’intérêt social* (L.G.D.J., Paris 2010) 167-197
- ‘Internationale Kapitalmarkthaftung als Corporate Governance – Haftungstatbestände und Kollisionsrecht in transatlantischer Perspektive’ (co-authored with Alexander Hellgardt) (2009) 173 *Zeitschrift für das gesamte Handelsrecht und Wirtschaftsrecht* (ZHR) 802-838
- ‘Forum shopping under the EU Insolvency Regulation’, (2008) 9 *European Business Organization Law Review* (EBOR) 579-620
- ‘Nichts ist vor ihm sicher? Allgemeines Gesellschaftsrecht im Visier des EuGH – Untersuchungen zum Anwendungsbereich der Kapitalverkehrsfreiheit’, in H Baum and others (eds), *Perspektiven des Wirtschaftsrechts – Deutsches, europäisches und internationales Handels-, Gesellschafts- und Kapitalmarktrecht* (de Gruyter, Berlin/New York 2008) 217-236
- ‘Keine Berufungszuständigkeit des OLG nach § 119 GVG bei Beteiligung einer Scheinauslandsgesellschaft’ (2008) 19 *Europäische Zeitschrift für Wirtschaftsrecht* (EuZW) 44-48 (co-authored with Charlotte Willemer)
- ‘The European Company Statute in the context of Freedom of Establishment’ (2007) 7 *Journal of Corporate Law Studies* (JCLS) 185-212
- ‘Die Neuregelung des Internationalen Kapitalmarktpublizitätsrechts durch die Neufassung der Transparenzrichtlinie’ (2007) 52 *Die Aktiengesellschaft* (AG) 809-815
- ‘„Überseering im Verfahrensrecht“ – Zu den Auswirkungen der EuGH-Rechtsprechung zur Niederlassungsfreiheit von Gesellschaften auf das Internationale Zivilprozessrecht’ (2007) 27 *Praxis des Internationalen Privat- und Verfahrensrechts* (IPRax) 388-395
- ‘Mitbestimmungsrechtliche Folgen einer SE-Sitzverlegung’ (2006) 9 *Neue Zeitschrift für Gesellschaftsrecht* (NZG) 931-935
- ‘Die „deutsche Limited“ in der Insolvenz – Zugleich Anmerkung zu LG Kiel, Urt. v. 20.4.2006 - 10 S 44/05’ (2006) 17 *Europäische Zeitschrift für Wirtschaftsrecht* (EuZW) 621-625 (co-authored with Charlotte Willemer)
- ‘No freedom of emigration for companies?’ (2005) 16 *European Business Law Review* (EBLR) 621-642

#### IV. COMMENTARIES ON LEGISLATION

- ‘Commentary on Articles 3 – 6 of the EIR’ in: Reinhard Bork and Kristin van Zwieten (eds), *Commentary on the European Insolvency Regulation* (2nd edition, Oxford University Press 2022)
- ‘International Company Law’, ‘§ 5 AktG’ and ‘§ 45 AktG’ in Karsten Schmidt and Marcus Lutter (eds), *Aktiengesetz – Kommentar* (Otto Schmidt Verlag, 3<sup>rd</sup> edition, Cologne 2015; 4<sup>th</sup> edition, Cologne 2020)
- ‘Commentary on Articles 17-21 of the Market Abuse Regulation 596/2014’ in Matthias Lehmann and Christoph Kumpan (eds), *European Financial Services Law* (Nomos/Beck/Hart 2019) 778-835
- ‘Commentary on the Transparency Directive 2004/109/EC’ in Matthias Lehmann and Christoph Kumpan (eds), *European Financial Services Law* (Nomos/Beck/Hart 2019) 1099-1230
- ‘Commentary on Articles 3 – 6 of the EIR’ in: Reinhard Bork and Kristin van Zwieten (eds), *Commentary on the European Insolvency Regulation* (Oxford University Press 2016)
- ‘Annotated guide on Articles 7, 8 SE Regulation’, in Marcus Lutter, Peter Hommelhoff and Christoph Teichmann (eds), *SE-Kommentar: SE-VO, SEAG, SEBG, Steuerrecht* (Otto Schmidt Verlag, 2<sup>nd</sup> ed, Cologne 2015)
- ‘Annotated guide on sections 895-999 and 1044-1059 CA 2006’, in Alexander Schall (ed), *Companies Act* (CH Beck, Munich 2014)
- ‘Annotated guide on Rome I Regulation, Articles 1-5, 9, 11, 18-29’ in Maximilian Herberger and others (eds), *juris Praxiskommentar BGB* (4<sup>th</sup> edn, juris, Saarbrücken 2009; 5<sup>th</sup> edn 2010; 6<sup>th</sup> edn 2012; 7<sup>th</sup> edn 2014; 8<sup>th</sup> edn 2017; 9<sup>th</sup> edn 2020)
- ‘Annotated guide on Articles 7, 8 SE Regulation’, in Marcus Lutter and Peter Hommelhoff (eds), *SE-Kommentar: SE-VO, SEAG, SEBG, Steuerrecht* (co-authored with Daniel Zimmer) (Otto Schmidt Verlag, Cologne 2008)

## V. CASE NOTES

- ‘*Kornhaas* and the Challenge of Applying *Keck* in Establishment’ (2017) 42 *European Law Review* 270-279  
*reprinted in* [2017] *European Current Law* 775-783
- ‘Haftung des „Director“ einer englischen „Limited“ gemäß § 64 GmbHG (zu EuGH, Urt. v. 10.12.2015 – C-594/14 *Kornhaas*)’ (2016) 71 *Juristenzeitung* 573-577
- ‘Sekundärinsolvenzverfahren nach der Europäischen Insolvenzverordnung (zu BGH, 8.3.2012 – IX ZB 178/11’ (2013) 33 *Praxis des Internationalen Privat- und Verfahrensrechts* (IPRax) 330-332



- ‘Zur Anwendung von § 64 GmbHG auf eine Englische Limited – Besprechung von KG, Urt. v. 24.9.2009 – 8 U 250/08’ (2010) 13 Neue Zeitschrift für Gesellschaftsrecht (NZG) 56
- ‘Case Note on the Opinion of Advocate General Maduro of 22 May 2008 in case C-210/06 (*Cartesio*)’, (2008) 29 Zeitschrift für Wirtschaftsrecht (ZIP) 1072-1075
- ‘Case Note on case C-112/05 *Commission v Germany (VW law)* of 23 October 2007’, (2008) 45 Common Market Law Review (CML Rev) 537-544
- ‘Case Note on the judgment by OLG Hamburg of 30 March 2007 – 11 U 231/04’ (applicability of the real seat theory to third-country companies) (2007) 98 GmbH-Rundschau 769-770
- ‘Public bodies and EC Competition Law – *FENIN v Commission*’ [2007] Bulletin of International Legal Developments (BILD) 39-40
- ‘Case Note on the judgment by OLG München of 27 July 2006 – 7 U 2287/06’ (international jurisdiction for equity-replacing lawsuits) [2007] Entscheidungen zum Wirtschaftsrecht (EWiR) 153-154 (EWiR Art. 1 LugÜ 1/07) (together with Charlotte Willemer)
- ‘Insolvenzanfechtungsklagen im System des europäischen Zivilverfahrensrechts – Anmerkung zum Urteil des OLG Frankfurt v. 26.1.2006 (15 U 200/05)’ [2006] Zeitschrift für das gesamte Insolvenzrecht (ZInsO) 700-701
- ‘Case Note on case C-411/03 *Sevic Systems AG*’ (admissibility of cross-border mergers) (2005) 57 Der Betrieb (DB) 2806-2807
- ‘Case Note on the judgment of OLG Brandenburg of 30 November 2004 – 6 Wx 4/04’ (cross-border migration of a GmbH) (2005) 96 GmbH-Rundschau 487-489

## VI. BOOK REVIEWS

- *International Handbook on Shareholders’ Agreements. Regulation, Practice and Comparative Analysis*. Ed. by Sebastian Mock, Kristián Csach, Bohumil Havel. – Berlin, Boston: De Gruyter 2018. XII, 679 pp. (De Gruyter Handbook), in: (2019) 83 The Rabel Journal of Comparative and International Private Law (RabelsZ) 448-451
- *Grenzüberschreitende Finanzdienstleistungen: Das Internationale Finanzmarkt-, Privat- und Zivilprozessrecht Deutschlands, Österreichs, der Schweiz und Liechtensteins*, edited by Dirk A. Zetzsche and Matthias Lehmann. Tübingen: Mohr Siebeck, 2018. LV + 550 pages, in: [2018] Die Aktiengesellschaft 683
- *Alternative Initial Public Offering Models. The Law and Economics Pertaining to Shell Company Listings on German Capital Markets*, by Axel Moeller (zugl.: Hamburg, Bucerius Law School, Diss., 2015.) – Tübingen: Mohr Siebeck 2016. XIX, 112 S. (Studien zum ausländischen und internationalen Privatrecht 368), in: (2018) 82 RabelsZ 826-828

- 
- *Better Bankers, Better Banks. Promoting Good Business through Contractual Commitment*, by Claire A. Hill and Richard W. Painter. Chicago: Chicago University Press, 2015. at: <<http://concurringopinions.com/archives/2016/01/better-bankers-book-symposium-a-perspective-from-across-the-pond.html>>
  - *Die englische Limited in der Praxis. Einschließlich Ltd. & Co. KG und Umwandlung. Mit Formulareil. 4., neu bearbeitete und erweiterte Auflage.* Von Clemens Just. – München, Beck 2012. XXVII, 199 S. in: [2013] *Neue Zeitschrift für Gesellschaftsrecht* 95
  - *Corporate Ownership and Control – British Business Transformed*, by Brian Cheffins. Oxford: Oxford University Press, 2008. xviii + 423 pages. Hardback, in: (2011) 12 *European Business Organization Law Review* 173-175
  - *The European Private Company (SPE): A Critical Analysis of the EU Draft Statute*, edited by D.F.M.M. Zaman, C.A. Schwarz, M.L. Lennarts, H.-J. de Kluiver, and A.F.M. Dorresteijn [Antwerp: Intersentia, 2009. xviii + 284 pp.], in: (2010) 35 *European Law Review* 900-901
  - *The European Company, Volume II.* Edited by Dirk van Gerven and Paul Storm. Cambridge: Cambridge University Press, 2008. 513 + ix pages. Hardback, in: (2009) 9 *Journal of Corporate Law Studies (JCLS)* 257-259

## VII. BLOGS, SHORTER AND OTHER CONTRIBUTIONS

- ‘Private Companies in the Net-Zero Transition and Policy Implications for the EU and the UK’, *Oxford Business Law Blog*, 24 May 2022, <<https://blogs.law.ox.ac.uk/business-law-blog/blog/2022/05/private-companies-net-zero-transition-and-policy-implications-eu-and>> (with Alperen Gözlügöl)
- ‘Net-Zero Plans under the Proposed CSDD’ *Oxford Business Law Blog*, 28 April 2022, <<https://www.law.ox.ac.uk/business-law-blog/blog/2022/04/net-zero-plans-under-proposed-csdd>>
- ‘Private Companies, Brown-Spinning, and Climate-Related Disclosures in the U.S.’, *Harvard Law School Forum on Corporate Governance*, 14 April 2022, <<https://corpgov.law.harvard.edu/2022/04/14/private-companies-brown-spinning-and-climate-related-disclosures-in-the-u-s/>>
- ‘The EU Sustainable Corporate Governance Initiative: Where are We and Where are We Headed?’, *Harvard Law School Forum on Corporate Governance*, 18 March 2022, <<https://corpgov.law.harvard.edu/2022/03/18/the-eu-sustainable-corporate-governance-initiative-where-are-we-and-where-are-we-headed/>>
- ‘How to design sustainable financial markets?’ *Forschen & Verstehen*, 24 February 2022, <<https://www.uni-hamburg.de/en/newsroom/forschung/2022/0224-fv-1-nachhaltige-finanzmaerkte.html>>

- 
- ‘Wie lassen sich Finanzmärkte nachhaltig gestalten?’ *Forschen & Verstehen*, 24 February 2022, <<https://www.uni-hamburg.de/newsroom/forschung/2022/0224-fv-1-nachhaltige-finanzmaerkte.html>>
  - ‘Why We Should Trust Investors for Promoting Sustainability Goals’, *Harvard Law School Forum on Corporate Governance*, 4 January 2022, <<https://corpgov.law.harvard.edu/2022/01/04/why-we-should-trust-investors-for-promoting-sustainability-goals/>>
  - ‘Financial Stability During and After Covid’, *Oxford Business Law Blog*, 9 July 2021, <<https://www.law.ox.ac.uk/business-law-blog/blog/2021/07/financial-stability-during-and-after-covid>> (with Christos V. Gortsos)
  - ‘Machine Learning, Market Manipulation, and Collusion on Capital Markets: Why the “Black Box” Matters’, *Oxford Business Law Blog*, 4 March 2021, <<https://www.law.ox.ac.uk/business-law-blog/blog/2021/03/machine-learning-market-manipulation-and-collusion-capital-markets>> (with Alessio Azzutti and Siegfried Stiehl)
  - ‘The DLT Pilot Regime: An EU Sandbox, at Last!’, *Oxford Business Law Blog*, 19 November 2020, <<https://www.law.ox.ac.uk/business-law-blog/blog/2020/11/dlt-pilot-regime-eu-sandbox-last>> (with Christopher Ruof)
  - ‘Building a European market for crypto-assets: Who’s afraid of Libra?’, *Oxford Business Law Blog*, 27 October 2020, <<https://www.law.ox.ac.uk/business-law-blog/blog/2020/10/building-european-market-crypto-assets-whos-afraid-libra>>
  - ‘The EU Sustainable Corporate Governance Initiative—room for improvement’, *Oxford Business Law Blog*, 15 October 2020, <<https://www.law.ox.ac.uk/business-law-blog/blog/2020/10/ec-corporate-governance-initiative-series-eu-sustainable-corporate>> (with Alexander Bassen and Kerstin Lopatta)
  - ‘Fintech Startups and Incumbent Players Series – Bank-Fintech Partnerships, Outsourcing Arrangements, and the Case for a Mentorship Regime’, *Oxford Business Law Blog*, 8 July 2020, <<https://www.law.ox.ac.uk/business-law-blog/blog/2020/07/fintech-startups-and-incumbent-players-series-bank-fintech>> (with Luca Enriques)
    - co-published at*
      - Columbia Law School Blue Sky Blog (22 July 2020), <<https://clsbluesky.law.columbia.edu/2020/07/22/bank-fintech-partnerships-outsourcing-arrangements-and-the-case-for-a-mentorship-regime/>>
      - Machine Lawyering (21 July 2020), <<https://www.legalanalytics.law.cuhk.edu.hk/post/bank-fintech-partnerships-outsourcing-arrangements-and-the-case-for-a-mentorship-regime>>
  - ‘Covid-19: No Time for Lawyers’, *Oxford Business Law Blog*, 22 May 2020, <<https://www.law.ox.ac.uk/business-law-blog/blog/2020/05/covid-19-no-time-lawyers>>
  - ‘Stewardship and Shareholder Engagement in Germany’, *Harvard Law School Forum on Corporate Governance*, 8 May 2020,

---

<<https://corpgov.law.harvard.edu/2020/05/08/stewardship-and-shareholder-engagement-in-germany/>>

- ‘GCGC/ECGI Global Webinar Series – How to Rescue Startups during the Pandemic’, Oxford Business Law Blog, 5 May 2020, <<https://www.law.ox.ac.uk/business-law-blog/blog/2020/05/gcgcecgi-global-webinar-series-how-rescue-startups-during-pandemic>> (with Dorothea Ringe)
- ‘Market Manipulation and Collusion by AI in Finance: a Primer’, Machine Lawyering Blog, 18 February 2020, <<https://www.legalanalytics.law.cuhk.edu.hk/post/market-manipulation-and-collusion-by-ai-in-finance-a-primer>> (with Alessio Azzutti)
- ‘Fintech Startups and Incumbent Players. Policy Challenges and Opportunities’, Oxford Business Law Blog, 18 September 2019, <<https://www.law.ox.ac.uk/business-law-blog/blog/2019/09/call-papers-fintech-startups-and-incumbent-players-policy-challenges>> (with Luca Enriques)
- ‘The Dark Side of Bank Resolution: Counterparty Risk through Bail-in’, Oxford Business Law Blog, 29 March 2019, <<https://www.law.ox.ac.uk/business-law-blog/blog/2019/03/dark-side-bank-resolution-counterparty-risk-through-bail>> (with Jatine Patel)
- ‘Keeping up with Innovation: Designing a European Sandbox for Fintech’ Machine Lawyering, The Chinese University of Hong Kong, 18 February 2019, <<https://www.legalanalytics.law.cuhk.edu.hk/single-post/2019/02/19/Keeping-up-with-Innovation-Designing-a-European-Sandbox-for-Fintech>> (with Christopher Ruof)
- ‘Keeping up with Innovation: Designing a European Sandbox for Fintech’, ECMI/CEPS Commentary no 58 (January 2019) (with Christopher Ruof)
- ‘A Regulatory Sandbox for Robo Advice’, Oxford Business Law Blog, 24 July 2018, <<https://www.law.ox.ac.uk/business-law-blog/blog/2018/07/regulatory-sandbox-robot-advice>> (with Christopher Ruof)
- ‘Bankruptcy Forum Shopping in Europe’, Harvard Law School Bankruptcy Roundtable, 15 May 2018, <<https://blogs.harvard.edu/bankruptcyroundtable/2018/05/15/bankruptcy-forum-shopping-in-europe/>>
- ‘Predictions of Brexit’s impact on finserv have been greatly exaggerated’, LSE Brexit Blog, 10 March 2017, <<http://blogs.lse.ac.uk/brexit/2017/03/10/predictions-of-brexit-impact-on-finserv-have-been-greatly-exaggerated/>>
- ‘The Irrelevance of Brexit for the European Financial Market’, Oxford Brexit Blog, 24 February 2017, <<http://www.law.ox.ac.uk/research-and-subject-groups/research-collection-brexit/blog/2017/02/irrelevance-brexit-european>>
- ‘The Irrelevance of Brexit for the European Financial Market’, Oxford Business Law Blog, 22 February 2017, <<http://www.law.ox.ac.uk/business-law-blog/blog/2017/02/irrelevance-brexit-european-financial-market>>

- 
- ‘The Anatomy of Corporate Law: The New Edition’, Oxford Business Law Blog, 17 February 2017, <<http://www.law.ox.ac.uk/business-law-blog/blog/2017/02/anatomy-corporate-law-new-edition>> (with John Armour, Luca Enriques and Mariana Pargendler)
  - ‘Bail-in and Market Stabilization’, Blog contribution to the Harvard Law School Forum on Corporate Governance and Financial Regulation, 22 July 2016, <<https://corpgov.law.harvard.edu/2016/07/22/bail-in-and-market-stabilization/>>
  - ‘Kornhaas and the Limits of Corporate Establishment’, Oxford Business Law Blog, 25 May 2016, <<https://www.law.ox.ac.uk/business-law-blog/blog/2016/05/kornhaas-and-limits-corporate-establishment>>
  - ‘How Europe Can Survive Without Introducing Sovereign Debt Limits’, EconoMonitor, 17 May 2016, <<http://www.economonitor.com/blog/2016/05/how-europe-can-survive-without-introducing-sovereign-debt-limits/>> and Columbia Law School Blue Sky Blog, 31 May 2016, <<http://clsbluesky.law.columbia.edu/2016/05/31/how-europe-can-survive-without-introducing-sovereign-debt-limits/>>
  - Twitter Interview with BankingUnion\_EU, 2 January 2016, <[http://bankingunion.eu/previous/3\\_Ringe/](http://bankingunion.eu/previous/3_Ringe/)>
  - ‘Regulatory Competition in Global Financial Markets’, Blog contribution to the Harvard Law School Forum on Corporate Governance and Financial Regulation, 20 October 2015, <<http://corpgov.law.harvard.edu/2015/10/20/regulatory-competition-in-global-financial-markets/>>
  - ‘How would a complete banking union change the Greece crisis?’, World Economic Forum, 20 July 2015, <<http://www.weforum.org/agenda/2015/07/how-would-a-complete-banking-union-change-the-greece-crisis>>
  - ‘Greece and the Missing Banking Union’, VOX CEPR Policy Portal, 17 July 2015, <<http://www.voxeu.org/article/greece-and-missing-banking-union>>
  - ‘Greece: What About the Banks?’, Columbia Law School Blue Sky Blog on Corporations and Capital Markets, 10 July 2015, <<http://clsbluesky.law.columbia.edu/2015/07/10/greece-what-about-the-banks/>>
  - ‘Restructure EU banks to facilitate resolution’, VOX CEPR Policy Portal, 28 January 2015, <<http://www.voxeu.org/article/restructure-eu-banks-facilitate-resolution>>
  - ‘How to save bank resolution in Europe’s nascent banking union’, Journal of Regulation & Risk North Asia 6(2) (2014), p. 133-136 (together with Jeffrey Gordon)
  - ‘Corporate Governance and the Erosion of Deutschland AG’, Blog contribution to the Harvard Law School Forum on Corporate Governance and Financial Regulation, 13 August 2014, <<http://corpgov.law.harvard.edu/2014/08/13/corporate-governance-and-the-erosion-of-deutschland-ag/>>
  - ‘How to save bank resolution in the European banking union’, VoxEU post, 30 April 2014 (with Jeffrey Gordon), <<http://www.voxeu.org/article/saving-bank-resolution-eurozone>>

- 
- ‘How to Save Bank Resolution in the European Banking Union’, Columbia Law School Blue Sky Blog, 24 April 2014 (with Jeffrey Gordon), <<http://clsbluesky.law.columbia.edu/2014/04/24/how-to-save-bank-resolution-in-the-european-banking-union/>>
  - ‘Corporate Mobility and Regulatory Competition in Europe’, Blog contribution to the Harvard Law School Forum on Corporate Governance and Financial Regulation, 7 May 2013, <http://corpgov.law.harvard.edu/2013/05/07/corporate-mobility-and-regulatory-competition-in-europe/>
  - ‘Empty Voting Revisited: the *Telus* Saga’, Blog contribution to the Harvard Law School Forum on Corporate Governance and Financial Regulation, 22 April 2013, <<http://corpgov.law.harvard.edu/2013/04/22/empty-voting-revisited-the-telus-saga/>>  
‘Hedge Funds and Risk-Decoupling — The Empty Voting Problem in the EU’, Blog contribution to the Harvard Law School Forum on Corporate Governance and Financial Regulation, 30 September 2012, <<http://corpgov.law.harvard.edu/2012/09/30/hedge-funds-and-risk-decoupling-the-empty-voting-problem-in-the-eu/>>
  - ‘Morrison, Securities Liability and Corporate Governance’, Blog contribution to [conflictoflaws.net](http://conflictoflaws.net), 2 July 2010, <<http://conflictoflaws.net/2010/morrison-securities-liability-and-corporate-governance/>>
  - ‘The International Dimension of Issuer Liability’ Blog contribution to the Harvard Law School Forum on Corporate Governance and Financial Regulation, 2 May 2010, <<http://corpgov.law.harvard.edu/2010/05/02/the-international-dimension-of-issuer-liability/>>
  - ‘OLG Hamburg zur Anwendbarkeit der Gründungstheorie auf Drittstaatengesellschaften’ [2007] *Status Recht (SR)*, p. 191
  - ‘Class actions in German law? The “Capital Markets Model Proceedings Act”’ [2006] *Bulletin of International Legal Developments (BILD)*, p. 196-197
  - *Termes juridiques. Rechtswörterbuch der Französischen Sprache* (3<sup>rd</sup> edn, Passau 2000)